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Patent 2/12/03  
HM-396  
D. Brewer

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Ludwig Hellenthal, et al.  
Serial No: 09/776,409  
Filed: February 2, 2001  
For: HEAT EXCHANGER WITH SAFETY VALVE  
Examiner: John K. Ford  
Art Unit: 3743

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TECHNOLOGY CENTER R3700

Assistant Commissioner for Patents  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

S I R:

The attention of the Examiner and the U.S. Patent and Trademark Office is hereby directed to the references listed on attached form PTO-1449. Copies of the references are also enclosed.

The references were cited during the prosecution of a corresponding German application.

02/06/2003 ANABI1 00000052 09776409

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The German Examiner cited German reference 4107440 C1 as showing all of the features of claim 1 with the exception of the shut-off device for shutting off the forward or rearward flow of the medium when the forward flow pressure drops significantly or drops to zero. German reference 3039380 A1 was cited as showing such a shutting-off device.

The remaining two references were not applied against the claims by the German Examiner.

The required fee in the amount of \$180.00 is enclosed.

Respectfully submitted,

*F K*

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Dated: January 28, 2003

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By: *F K*  
Friedrich Kueffner

Date: January 28, 2003